Magnetic Resonance Imaging-Guided, Open-Label, High-Frequency Repetitive Transcranial Magnetic Stimulation for Adolescents with Major Depressive Disorder

Christopher A. Wall, MD,1 Paul E. Croarkin, DO, MSCS,2 Mandie J. Maroney-Smith, RT(R) MR,3 Laura M. Haugen, BS,4 Joshua M. Baruth, PhD,5 Mark A. Frye, MD,2 Shirlene M. Sampson, MD, MSc,2* and John D. Port, MD, PhD2,3*

Abstract

Objective: Preliminary studies suggest that repetitive transcranial magnetic stimulation (rTMS) may be an effective and tolerable intervention for adolescents with treatment-resistant depression. There is limited rationale to inform coil placement for rTMS dosing in this population. We sought to examine and compare three localization techniques for coil placement in the context of an open-label trial of high-frequency rTMS for adolescents with treatment-resistant depression.

Methods: Ten adolescents with treatment-resistant depression were enrolled in an open-label trial of high-frequency rTMS. Participants were offered 30 rTMS sessions (10 Hz, 120% motor threshold, left 3000 pulses applied to the dorsolateral prefrontal cortex) over 6–8 weeks. Coil placement for treatment was MRI guided. The scalp location for treatment was compared with the locations identified with standard 5 cm rule and Beam F3 methods.

Results: Seven adolescents completed 30 rTMS sessions. No safety or tolerability concerns were identified. Depression severity as assessed with the Children’s Depression Rating Scale Revised improved from baseline to treatment 10, treatment 20, and treatment 30. Gains in depressive symptom improvement were maintained at 6 month follow-up visits. An MRI-guided approach for coil localization was feasible and efficient. Our results suggest that the 5 cm rule, Beam F3, and the MRI-guided localization approaches provided variable scalp targets for rTMS treatment.

Conclusions: Open-label, high-frequency rTMS was feasible, tolerable, and effective for adolescents with treatment-resistant depression. Larger, blinded, sham-controlled trials are needed for definitive safety and efficacy data. Further efforts to understand optimal delivery, dosing, and biomarker development for rTMS treatments of adolescent depression are warranted.

Introduction

Major depressive disorder (MDD) is a common and impairing condition that often first presents in adolescence. Contemporary treatment approaches with psychotherapy, selective serotonin reuptake inhibitors (SSRIs), or their combination are often ineffective and do not engage relevant neurobiologic targets (March et al. 2004, 2007; Brent et al. 2008). For example, remission rates in clinical trials of adolescent MDD are ~30% (Brent and Birmaher 2006; Walkup 2010). Suboptimal treatments contribute to a societal burden and functional impairment manifested by impaired academic performance, substance abuse, teen pregnancy, and increased risk for suicide. Safe, effective treatments informed by neuroscience are desperately needed (Brent and Birmaher 2006; Croarkin et al. 2010).

1PrairieCare Medical Group, Rochester, Minnesota.
2Department of Psychiatry and Psychology, Mayo Clinic, Rochester, Minnesota.
3Department of Radiology, Mayo Clinic, Rochester, Minnesota.
4Department of Neurosurgery, Mayo Clinic, Rochester, Minnesota.
5University of Louisville School of Medicine, Louisville, Kentucky.
*Shared senior authorship.

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Repetitive transcranial magnetic stimulation (rTMS) has promise as a treatment for adolescent MDD (D’Agati et al. 2010; Donaldson et al. 2014). At present, four (rTMS) devices have United States Food and Drug Administration (FDA) clearance for the treatment of adult MDD. During rTMS sessions, a coil placed on the patient’s scalp delivers magnetic pulses to the left dorsolateral prefrontal cortex (L-DLPFC), thereby inducing electrical currents in this region. The mechanism of action of rTMS likely involves alterations in the cortical inhibitory/excitatory balance or neuroplastic changes (Li et al. 2014). Nearly 3000 adult participants have been treated with rTMS in research protocols, whereas it is estimated that 18,000 patients have been treated clinically (Dunner et al. 2014). Unfortunately, systematic rTMS research in adolescent MDD is limited. A recent systematic review concluded that whereas rTMS may be a promising modality for adolescents with treatment-refractory depression, further work with consistent study designs is imperative (Donaldson et al. 2014). Existing studies suggest that rTMS is a safe acute treatment for adolescents. However, long-term follow-up data are lacking (Krishnan et al. 2015). In considering both adult and adolescent depression, there are many unanswered questions regarding the dosing and delivery of rTMS (Donaldson et al. 2014; Li et al. 2014; Mir-Moghtadaei et al. 2015).

One such area of inquiry focuses on the optimal procedure for coil localization prior to stimulus delivery for treatment (Fitzgerald et al. 2006, 2009; Mir-Moghtadaei et al. 2015). Prior therapeutic rTMS trials for adolescent depression utilized the “5 cm rule” technique for coil placement (Wall et al. 2011; Donaldson et al. 2014). First, the patient’s contralateral abductor pollicis brevis (APB) muscle is monitored during motor cortical stimulation, thereby identifying the location producing maximum visually inspected contractions or motor-evoked potential amplitude. The treatment site is defined as 5 cm anterior in a parasagittal plane (O’Reardon et al. 2007; George et al. 2010). Prior adult work suggests that this pragmatic technique may fail to accurately locate the DLPFC in some patients when compared with MRI (George et al. 2010; Mir-Moghtadaei et al. 2015). The Beam F3 method was developed in 2009 with the aim of improving the interindividual reliability of rTMS coil placement in a cost-effective fashion (Beam et al. 2009). The F3 location of the international 10–20 electroencephalography (EEG) electrode placement method corresponds to the L-DLPFC. The Beam F3 method streamlines the 10–20 technique with three scalp measurements in cm (nasion to inion, left to right preauricular points, and head circumference) that are entered into a computer program. Computer-generated calculations provide two output measurements estimating the location of the F3 site in reference to the patient’s vertex (Beam et al. 2009). Ongoing work with MRI or functional MRI-guided procedures for localization may produce more reliable procedures and optimize the clinical outcomes of rTMS treatments (Fitzgerald et al. 2009; Rusjan et al. 2010; Dunlop et al. 2015). Currently, however, these approaches are often impractical and cost prohibitive for clinical and research rTMS treatments. Further, a recent study of 100 adults suggested that the Beam F3 method reliably approximated MRI-guided coil placement. The authors argued that additional refinements of the Beam F3 approach may yield further improvements (Mir-Moghtadaei et al. 2015).

To our knowledge, no prior therapeutic trial of rTMS for adolescent depression has compared localization techniques (Croarkin et al. 2010; D’Agati et al. 2010; Donaldson et al. 2014). We sought to compare three localization techniques (the 5 cm rule, the Beam F3 method, and a systematic MRI-guided approach) in the context of an open-label trial of high-frequency rTMS for adolescents with recalcitrant depression. We hypothesized that the 5 cm and Beam F3 locations would vary. Secondarily, additional data and experience with open-label rTMS could inform the development of future randomized, sham-controlled studies and future clinical practice. We hypothesized that adolescents with treatment-resistant depression would tolerate and benefit from 30 sessions of MRI-guided, 10 Hz rTMS. Finally, we sought to describe the technique and implementation of an MRI method for localizing the DLPFC for adolescent rTMS dosing.

Methods

Study participants

Study procedures were approved by the Mayo Clinic Institutional Review Board (IRB) and a United States Food and Drug Administration Investigational Device Exemption (#G110091) was also obtained prior to enrollment of participants. All participants were evaluated by a board-certified child and adolescent psychiatrist (C.A.W. or P.E.C.). The initial evaluation included a semistructured diagnostic interview, the Schedule for Affective Disorders and Schizophrenia for School-Age Children-Present and Lifetime version (KSADS-PL) (Kaufman et al. 1997). Inclusion criteria included active treatment for MDD based on Diagnostic and Statistical Manual of Mental Disorders, 4th ed., Text Revision (DSM-IV-TR) criteria (American Psychiatric Association 2000), a Children’s Depression Rating Scale Revised (CDRS-R) (Poznanski et al. 1984) total score ≥40 (T score >63), and at least one prior failed antidepressant medication trial as defined by the Antidepressant Treatment History Form (ATHF) (Sackeim 2001). Further, inclusion criteria included active treatment with a selective serotonin reuptake inhibitor or serotonin and norepinephrine reuptake inhibitor at a stable and minimally effective dose (defined by ATHF) of at least 6 weeks. Participants were not eligible if there had been any change in psychotherapeutic treatment in the prior 4 weeks. Co-occurring dysthyemic disorder, attention-deficit/hyperactivity disorder, and anxiety disorders were not exclusionary. Schizophrenia, schizoaffective disorder, bipolar spectrum disorders, substance abuse or dependence, somatoform disorders, dissociative disorders, posttraumatic stress disorder, obsessive-compulsive disorder, eating disorders, mental retardation, and pervasive developmental disorders were exclusionary. Patients with a suicide attempt in the past 6 months were not eligible for enrollment. All participants had a urine drug screen prior to rTMS treatment. All female participants had a urine pregnancy test. Participants continued approved antidepressant medications at a stable dose for the duration of the rTMS trial. Participants were allowed to take previously prescribed sleep aids during the trial. Stimulants, antipsychotics, tricyclic antidepressants, and bupropion were not permitted during the active treatment phase of the study (Pisani et al. 2002).

5 cm rule, Beam F3 and MRI guided localization of DLPFC

Participants were custom fitted with a swim cap in the TMS suite. Six locations (right helix of the external ear, left helix of the external ear, right supraorbital ridge, left supraorbital ridge, nasion, and inion) were noted on the swim cap to ensure reliable placement throughout localization sessions. The scalp locations of the APB and 5 cm site were found and traced on the swim cap with standard visualization of movement techniques published previously (O’Reardon et al. 2007; George et al. 2010). The F3 scalp location was determined and traced with the Beam F3 method. Participants wore this swim cap to the MRI suite for subsequent localization of the left DLPFC brain target.
(DBT) and the left DLPFC scalp target (DST). Eight fiducial markers were placed on the swim cap: Mid-frontal, ABP, F3, 5 cm, left mastoid, right mastoid, right parietal, and right frontal.

Scans for the MRI localization procedure were obtained with a GE 3.0 Tesla DV750 MRI scanner (GE Medical Systems, Waukesha, WI) running 22.0 software equipped with an eight channel head coil. Participants wore their swim caps during the MRI procedures. To minimize head movement, the participant’s forehead was affixed with padding and adhesive tape, and neck support was provided as needed. T1-weighted structural images were acquired using a true-axial fast 3D-SPGR sequence (TR = 12.6 ms, TE = 5.6 ms, flip angle = 15 degrees, field of view (FOV) = 250 × 250 mm, slice = 1.5 mm, matrix = 512 × 512 pixels).

For localization of the left DLPFC, the “inferior plane” of the corpus callosum was identified as a line that abutted the inferior margins of the rostrum and splenium of the corpus callosum. Next, we prescribed and acquired a 20 mm thick coronal-oblique localizer slice exactly perpendicular to the inferior plane, such that the center of the localizer slice was placed exactly 10 mm anterior to the genu of the corpus callosum, and the posterior edge of the slice abutted the anterior margin of the rostrum of the corpus callosum. Next, on that localizer slice, we identified the deepest portion of the superior frontal sulcus (SFS), calling that point the DBT. For the rTMS treatment, we prescribed and acquired a 20 × 20 × 20 mm voxel with its center located exactly at the DBT (Fig. 1). Additional constraints were that 1) the superolateral corner of the voxel abutted, but did not include, the skull; 2) the medial margin of the voxel excluded the medial frontal cortex as feasible; and 3) the voxel was placed as superiorly as possible given constraints 1 and 2. During each scan, the T1-weighted anatomical images were transferred to a Medtronic Stealth Station S7 (Medtronic Navigation, Inc, Louisville, CO) equipped with an AxiEM frameless localization system running Synergy Cranial 2.2.6. This navigation system created a three-dimensional (3D) model of the brain, generating thin slices that were reformatted in any plane in real time. Using the above technique, a neuroradiologist (J.D.P.) identified a coronal localizing slice on the AxiEM Stealth System that best corresponded with the coronal localizer slice from the MR scanner, and marked the DBT on the image volume. After the MRI scan, each participant was co-registered with the Stealth System using all of the fiducial markers, including 5 cm, F3, and APB. Next, the Stealth technologist (L.M.H.) placed the system into “navigation mode,” and slowly swept the Stealth probe over the swim cap in a grid-like pattern until a point was found that had the shortest straight line distance to the DBT. This point was marked on the swim cap and labeled as the DST. This DST localizing process took ~5 minutes to complete (Fig. 1).

On the day of the MRI and co-registration or during a separate visit within 1 week, participants returned to the rTMS suite. While participants wore their custom swim cap, the locations of APB, 5 cm, and F3 were reconfirmed. Finally, the location of the DST was loaded and stored in the TMS system. All subsequent TMS treatments occurred with the TMS probe centered on the DST location. Euclidean distances between the DST to 5 cm location (Fig. 2a) and the DST to Beam F3 location (Fig. 2b) were measured with the Stealth System to compare potential discrepancies between these two commonly used targeting techniques for rTMS.

**rTMS procedures**

Participants wore ear protection during rTMS sessions to minimize the risk of auditory threshold changes. Thirty treatments delivered to the MRI-guided DST location were administered 5 days per week over 6–8 weeks. The 6–8 week range was specified to accommodate potential variability in the participants’ schedules related to school, illnesses, or family events. Hence, each participant was offered 40 opportunities to complete 30 rTMS sessions. Treatment was delivered with the Neuronetics Neurostar Therapy System (Neuronetics Inc., Malvern, PA). Motor threshold assessments were completed with a visualization of movement technique at baseline and after every 10 rTMS sessions (O’Reardon et al. 2007). Treatment intensity was 120% resting motor threshold with a 10 Hz frequency. Stimulus train durations were 4 seconds with an
intertrain interval of 26 seconds. When necessary for participant comfort, the treatment intensity was titrated 10% daily starting at a minimum of 80% to the target 120% of resting motor threshold.

Clinical assessments

Depression severity was assessed at baseline, after 10 treatments, after 20 treatments, after 30 treatments (or at early withdrawal), and at a 6 month follow-up visit with the CDRS-R, Quick Inventory for Depressive Symptomatology Adolescent Seventeen Item Self Report (QIDS-A17-SR) (Bernstein et al. 2010), and the Clinical Global Impressions-Severity (CGI-S) and Improvement (CGI-I) scales (Guy 1976). Suicidality was monitored on a weekly basis during the rTMS treatment course with the Columbia Suicide Severity Rating Scale (C-SSRS) (Posner et al. 2008). Participants underwent neurocognitive testing at baseline, upon completion of active rTMS treatments, and at the 6 month follow-up visit. Neurocognitive assessments included the Children’s Auditory Verbal Learning Test-Second Edition (Talley 1985) and the Delis–Kaplan Executive Function System (Delis et al. 2004). Tolerability and adverse events were assessed before and after each study visit. Symptoms were rated on a numerical scale and specific details were recorded on an Adverse Event Monitoring Form.

Statistical analysis

Clinical outcome measures were characterized as mean changes from baseline and standard deviation of the change. The ten participants were included in last observation carried forward analyses. Analyses compared change in scores between end-point in comparison with baseline, using the Wilcoxon signed rank test. Data analyses were performed with SAS (SAS Institute, version
The mean group distances of DST to 5 cm and DST to Beam F3 locations were compared with a Wilcoxon signed rank test.

Results

Demographics

Six male and four female adolescent participants with a mean (SD) age of 15.9 (1.1) years of age (range 13.9–17.4 years of age) enrolled in the study. Demographics are described in Table 1. Three participants did not complete all 30 treatments.

Clinical outcomes

Six of the ten participants responded to rTMS treatment. Total mean scores on the CDRS-R, QIDS-A17-SR, and CGI-S improved from baseline to treatment 20, 30, and at 6-month follow-up. The CDRS-R and CGI-S demonstrated improvement by treatment 10. The mean (SD) CDRS-R score at baseline was 62.9 (8.2), corresponding to a severely depressed range. The CDRS-R scores improved significantly at treatments 10 (mean = 44.7, SD = 11.2, p = 0.001), 20 (mean = 41.8, SD = 13.2, p = 0.002). Improvement maintained at the 6-month follow-up visits (39.9, SD 17.4, p = 0.03). The QIDS-A17-SR scores had a mean (SD) baseline rating of 16.0 (3.5) indicating a severe level of depression (15 is the cutoff for severe). Improvements with this measure became significant at treatments 20 (mean = 12.5, SD = 4.3, p = 0.02) and 30 (mean = 12.0, SD = 5.3, p = 0.045) and endured at 6-month follow-up (mean = 10.3, SD = 6.7, p = 0.03). Clinician ratings of illness severity and improvement showed significant improvement as a group and individually. At baseline, the mean CGI Severity score was 5.4, indicating marked depression. Significant improvement was noted at treatment 10 (mean = 4.9, SD = 0.7, p = 0.02), treatment 20 (mean = 3.9, SD = 1.3, p = 0.003), treatment 30 (mean = 3.4, SD = 1.3, p = 0.002) and 6 month follow-up (mean = 3.0, SD = 1.9, p = 0.002). Upon completion of treatment 30, 6 of 10 adolescents were rated as mildly ill (3), borderline mentally ill (2), or normal, not at all ill (1). At 6 month follow-up, improvement persisted, with 6 of 10 adolescents receiving depression severity ratings of mildly ill (3), borderline mentally ill (2), or normal, not at all ill (1). CGI Improvement scores were noted to be much improved (2) or very much improved (1) in 6 of 10 participants at treatment completion and at 6 month follow-up.

Safety findings

One participant did not tolerate the first session because of scalp discomfort. A second participant completed five sessions and was subsequently hospitalized for worsening depression. A third participant completed 17 sessions but became anxious about school expectations and developed multiple somatic symptoms. This patient chose not to continue rTMS treatment. It is of note that the study team and her parents thought her depressive symptoms had improved with rTMS.

The most common adverse event was transient scalp discomfort. Additional adverse events included headaches, dizziness, musculoskeletal discomfort, neck stiffness, eye twitching, and nausea. All of these events were characterized as mild and transient. There were no seizures or other significant treatment-related adverse events during the trial.

Neurocognitive testing did not reveal any significant decline in functioning. These findings have been reported previously (Wall et al. 2013). At baseline, 80% of the participants reported some degree of suicidal thinking the week prior to their first rTMS sessions. During the trial, two participants had worsening suicidal behaviors. One participant related that this was in the context of an argument with his mother regarding schoolwork and these symptoms quickly resolved. Another participant experienced self-injurious behavior during the 6 month follow-up period.

Comparison of targeting methods for coil placement

Plots of the euclidean distances between DST to 5 cm locations and DST to Beam F3 locations suggested variability between these techniques (Fig. 2). The mean (SD) distance between DST and 5 cm location was 3.93 (1.22) cm. The mean (SD) distance between DST and Beam F3 location was 2.45 (1.35) cm. The difference in mean distances reached statistical significance (p = 0.006).

Discussion

To our knowledge, this is the first open-label trial of MRI-guided, high-frequency rTMS applied to the DLPFC for adolescents with depression. This also was the first attempt to compare the
5 cm rule, Beam F3, and neuronavigation methods of targeting the DLPFC for high-frequency rTMS treatments in adolescents with severe MDD. The results of the present study provide additional data and experience with high-frequency rTMS in adolescents with treatment-recalcitrant depression. There are a number of important limitations to consider. First, the APB location and motor threshold measurements were based on observations of visual contractions rather than neurophysiological techniques with electromyography. An electromyography approach may have improved the specificity of the APB site localization. Some experts have raised important concerns regarding visualization methods for motor threshold, as this approach may also provide inaccurate information for dosing rTMS sessions (Rossi et al. 2009; Westin et al. 2014). However, the visualization of movement method for motor threshold testing is commonly used in clinical practice, and universal consensus is lacking (Pridmore et al. 1998; Rossi et al. 2009). Second, in terms of clinical outcomes, there are important threats to internal validity for consideration. Specifically, there was no control group, and the clinical ratings were not blinded. It is possible that symptom reduction was simply the result of the passage of time, involvement in a therapy, or interpersonal interaction with the treating psychiatrist or technician. Finally, given the small sample size and design of the study, caution is warranted to avoid over-interpreting the findings. However, a course of MRI-guided, high-frequency rTMS treatment was feasible, tolerable, and beneficial for the majority of participants. Further, retention, tolerability, and effectiveness results of this pilot study parallel our earlier work that employed the standard 5 cm rule method for localizing the rTMS stimulation site of the DLPFC (Wall et al. 2011). We note that titration methods for stimulus intensity dosing will likely improve the overall tolerability and retention of adolescent participants in future studies. Titrating from 80% to 120% of resting motor threshold over five treatment sessions with an increase by 10% as tolerated per session appeared beneficial and may be a reasonable strategy for future studies. In fact adult studies suggest that procedural scalp pain associated with rTMS improves over the course of treatment (Anderson et al. 2009).

Multiple techniques for MRI guided localization of the DLPFC have been examined previously (Glahn et al. 2005; Fitzgerald et al. 2006; Peleman et al. 2010; Rusjan et al. 2010). The methodology in the present study was supervised by a neuroradiologist and was relatively expedient as it utilized a pragmatic neuroimaging landmark. Ultimately, the accuracy of all MRI guided DLPFC localization techniques cannot be validated as Brodmann’s areas are not imaged directly (Rajkowska and Goldman-Rakic 1995a,b). However, the technique we present corresponds with BA9 and 46 based on prior cytoarchitecture descriptions (Rajkowska and Goldman-Rakic 1995a,b). Although prior groups have described similar techniques, our methodology identifies the DBT as the interface of the superior and middle frontal gyri rather than center of the middle frontal gyrus (Peleman et al. 2010). This is noteworthy, as BA9 and BA46 are thought to include both superior and middle frontal gyri.

The optimal localization technique for rTMS treatment of MDD is an open question (Mir-Moghtadaei et al. 2015). Although practical, it has been widely suggested that the 5 cm method is inaccurate (Herwig et al. 2001; Ahdab et al. 2010; Bradfield et al. 2012). For example, in studies examining the precision of the 5 cm method in comparison with well-defined cortical landmarks for the DLPFC, the 5 cm method is inaccurate >50% of the time (Ahdab et al. 2010; Mir-Moghtadaei et al. 2015). This may have been a contributing factor in prior rTMS trials with suboptimal clinical outcomes (Fitzgerald et al. 2009; Mir-Moghtadaei et al. 2015). Although a 6 cm technique or the Beam F3 method may improve accuracy of localization, MRI-guided techniques are increasingly pondered as a touchstone approach for adult populations. However, the optimal MRI localization procedure has not been established. Given the limitations of the present study, it is unknown if MRI-guided rTMS treatment improves clinical outcomes in depressed adolescents. A definitive study would be a complex and expensive endeavor necessitating the comparison of outcomes with each stimulation site. Further, it is unlikely that MRI-guided rTMS treatment will be routinely employed in future clinical practice, as this could present unfortunate barriers for adolescents in need. Future practical approaches might ponder the utility of MRI-guided techniques for depressed adolescents failing an extended course of standard rTMS. The practical and economic feasibility of implementing MRI-guided localization broadly is of concern (Mir-Moghtadaei et al. 2015), and warrants further study.

Conclusions

This study and prior work suggest that high-frequency rTMS is a feasible, tolerable, and potentially effective modality for adolescents with treatment-resistant MDD. The fact that the majority of participants completed all 30 sessions suggests a perceived benefit of the treatment. The maintenance of clinical improvement at 6 month follow-up is also promising. Drawing definitive conclusions regarding clinical effectiveness is premature. Ideal future efforts will focus on randomized, sham-controlled trials with an adequate dose, duration, and blinding. Based on our early findings, rTMS dosing parameters (30 rTMS sessions of 10Hz, 120% motor threshold, with 3000 pulses applied to the DLPFC) from prior pivotal adult studies appears to be a reasonable approach for further work. The present results suggest that 5 cm rule, Beam F3, and MRI-guided localization approaches in adolescents may yield different locations. However, the clinical significance of localization is unclear in terms of tolerability and clinical outcome. Given the potential family burden of daily rTMS treatments, future research efforts should also focus on baseline predictive biomarkers for patient selection and dosing strategies.

Clinical Significance

The present study suggests that 30 sessions of high-frequency rTMS applied to the dorsolateral prefrontal cortex is a promising intervention for adolescents with treatment-resistant depression. Standard localization techniques for rTMS treatment may identify divergent anatomical areas in adolescents, but the clinical impact of this is undetermined. In the future, collaborative, randomized, sham-controlled trials of rTMS for adolescent depression could advance understanding of rTMS in adolescents, and potentially address an unmet clinical need.

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References


Address correspondence to:
Paul E. Croarkin, DO, MSCS
Department of Psychiatry and Psychology
Mayo Clinic
200 First St. SW
Rochester, MN 55905

E-mail: croarkin.paul@mayo.edu
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